In re application of: John Michael Friel

Serial No.: 097766,435

**Group Art Unit: 3627** 

Filed: 1/19/01

Examiner: Lynda Jasmin

For: DISTRIBUTED PAINT MANUFACTURING SYSTEM

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

## SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT

Sir:

In accordance with applicant(s)' duty of disclosure under 37 C.F.R. §1.56(a) and pursuant to 37 C.F.R. § 1.97-1.98, Applicant(s) submit(s) herewith copies of the documents listed on the enclosed modified Form PTO-1449, "List of Art Cited by Applicant." Inclusion of a document in this Information Disclosure Statement is not intended to constitute an admission that any document so disclosed is "prior art" with respect to the present invention unless specifically so stated herein.

The filing of this Information Disclosure Statement shall not be construed to mean that a search has been made, or that no other material information, as defined in 37 C.F.R. §1.56(a) exists.

In accordance with 37 CFR §1.98(a)(2), a copy of each item listed on the enclosed Form, PTO-1449, is included herewith except that no copies of the U.S. patent applications are included pursuant to 37 CFR §1.98(a)(2)(iii). Except where noted, each of the items listed are provided in the English language.

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The Examiner is requested to review the art cited herein and to make the art cited of record in the present application.

### **CERTIFICATION**

Check one of the following two paragraphs

- [ ] The additional references cited herewith <u>were</u> cited in a communication from a non-U.S. patent office regarding a non-U.S. counterpart application not more than 3 months prior to the filing of this statement, or
- [] The additional references <u>were not</u> cited in a communication from a non-U.S. patent office regarding a non-U.S. counterpart application and were not known to any individual having a duty of disclosure more than 3 months prior to the filing of this statement.

OR

[x] Charge the \$180.00 fee under 37 CFR § 1.17(p) to Deposit Account 18-1850, and charge any additional fees or credit any overpayment to said Deposit Account. A Fee Sheet is filed herewith in duplicate.

Respectfully submitted,

CM Tollows

Chipo M. Jolibois

Attorney for Applicants Registration No. 54,201

Telephone No.: (215) 619-5992

May 13, 2005 Rohm and Haas Company 100 Independence Mall West Philadelphia, PA 19106-2399

### **PATENT**

# THE UNITED STATES PATENT AND TRADEMARK OFFICE

Docket No.: A01014

CMJ/sd

In re application of:

John Michael Friel

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## **CERTIFICATE OF MAILING**

Sir:

I hereby certify that the following correspondence is being deposited with the U.S. Postal Service with sufficient postage as first class mail in an envelope addressed to the Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on the date indicated next to my signature below:

**Information Disclosure Statement** 

Data (13, 2005

Date  $\lor$ 

Signature

PTO/SB/08A (08-03)

Approved for use through 07/31/2006. OMB 0651-0031 U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

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Substitute for form 1449/PTO

Sheet 1

# INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(Use as many sheets as necessary)

Complete if Known		
Application Number	09/766,435	
Filing Date	01/19/2001	
First Named Inventor	John Michael Friel	<u> </u>
Art Unit	3627	
Examiner Name	Jasmin, Lynda C	
Attorney Docket Number	Δ01014	

Funning-	1 044	D		DOCUMENTS	
Examiner Initials*	Cite No.1	Document Number  Number-Kind Code <sup>2 (# known)</sup>	Publication Date  MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		<sup>US-</sup> 5,992,398	11/30/1999	Но	
		<sup>US-</sup> 4,403,866	09/13/1983	Falcoff et al	
		US-			-
		US-			
		US-			-
		US-			

		FORE	IGN PATENT DOCU	JMENTS		
	Cite No.1		Publication Date	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages	
		Country Code <sup>3</sup> Number <sup>4</sup> Kind Code <sup>5</sup> (if known)	MM-DD-YYYY	1.	Or Relevant Figures Appear	T <sup>6</sup>
		EP 0 915 401 B1	04/07/2004	BASF Corp.		
		EP 0 614 951 A2	09/14/1994	Herberts GMBH	Abstract	
_		EP 1 094 066 A2	04/25/2001	Agouron PHARM.		
		CA 935255	10/16/1973	CanadianTitaniu		
		PS98A000005	02/23/1998	Colorificio	Translation	

Examiner		Date	
Signature		Considered	
	<u> </u>	1	

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. Applicant's unique citation designation number (optional). See Kinds Codes of USPTO Patent Documents at <a href="www.uspto.gov">www.uspto.gov</a> or MPEP 901.04. Senter Office that issued the document, by the two-letter code (WIPO Standard ST.3). For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. Kind of document by the appropriate sentences and indicated on the document under WIPO Standard ST.16 if possible. Applicant is to place a check mark here if English language Translation is attached.

This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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Complete if Known

Application Number

09/766,435

INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(Use as many sheets as necessary)

of 2

Sheet

Application Number	09/766,435		
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First Named Inventor	John Michael Friel	_	
Art Unit	3627	•	
Examiner Name	Jasmin, Lynda C	-	
Attorney Docket Number	A01014	-	

		NON PATENT LITERATURE DOCUMENTS	
Examiner Initials*	Cite No. <sup>1</sup>	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T <sup>2</sup>
		GRUNDFELT-FORSIUS et al "Paint Production by Component Mixing", Faerg LackScan., 1997, (43(2)5-6 (Swedish translation)	
		Encyclopedia of Polymer Science and Engineering, John Wiley & Sons, Suppl., 1989, pgs. 58-59	
		Encyclopedia of Polymer Science and Engineering, John Wiley & Sons, Suppl., 1989, pg. 64	
		"Any Kind of Paint You Like", Innovation and Technology Transfer, July 2000,	
		PATTON, "Latex Critial Pigment Volume Concentration", Paint Flow and Pigment Dispersion, 1979, 193	

Examiner	Date	
Signature	Considered	

<sup>\*</sup>EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

Applicant's unique citation designation number (optional). 2 Applicant is to place a check mark here if English language Translation is attached. This collection of information is required by 37 CFR 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: